

APPLICATION  
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TITLE: PROCESS FOR FABRICATION OF A FERROCAPACITOR  
APPLICANT: RAINER BRUCHHAUS AND KARL HORNIK

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## Process for fabrication of a ferrocapacitor

### Field of the invention

The present invention relates to fabrication processes for ferroelectric devices which include one or more ferrocapacitors, and to ferroelectric devices  
5 produced by the fabrication processes.

### Background of Invention

Many conventional FeRAM devices include a horizontal ferrocapacitor structure, in which a stack of layers is formed including top and bottom electrodes sandwiching a ferroelectric layer. An alternative "vertical capacitor"  
10 structure was suggested in US 6,300,652, the disclosure of which is incorporated herein by reference. A vertical capacitor includes a ferroelectric element sandwiched between electrodes to either side, all at substantially the same level in the FeRAM device.

15 The vertical capacitors are typically to be formed over a substructure. The substructure includes various electronic components buried in a matrix (e.g. of TEOS (tetraethylorthosilicate)). The substructure further includes conductive plugs connected to the electronic components, and which extend upwards through the matrix. The upper ends of the plugs terminate in TiN/Ir barrier  
20 elements, having a top surface flush with the surface of the matrix.

Conventionally, an insulating layer of  $\text{Al}_2\text{O}_3$  is formed over the surface of the matrix, and a thicker layer of ferroelectric material such as PZT ( $\text{PbZrTiO}_3$ ) is formed over that, and then crystallised in an oxygen atmosphere. The  $\text{Al}_2\text{O}_3$   
25 layer acts as a seed layer for PZT crystallisation, and has the further function of inhibiting oxygen diffusion into the substructure during the PZT crystallisation.

Hardmask elements are then deposited over selected areas of the PZT layer, and the portions of the PZT and  $\text{Al}_2\text{O}_3$  which are not protected by the hardmask elements are etched all the way through, forming openings.

- 5 The openings are then filled with conductive material such as  $\text{IrO}_2$ , by depositing  $\text{IrO}_2$  over the entire structure and chemical-mechanical planarization (CMP) polishing is performed to form a flat upper surface which is partly the PZT and partly the conductive material. Then, an  $\text{Al}_2\text{O}_3$  layer is formed over the surface. The elements of  $\text{IrO}_2$  constitute electrodes, while the
- 10 remaining PZT elements constitute the dielectric elements of the ferrocapacitors. At least some of the electrodes may be in electrical contact with the plugs, via the barrier elements.

- The vertical capacitor structure has great potential for reducing the cell size, especially if the angle between the horizontal direction and the sides of the
- 15 remaining PZT elements is high.

### Summary of the Invention

- 20 The present inventors have realised that the crystallisation step of the conventional method described above has the disadvantage that crystal boundaries in the PZT (which are generally parallel to the crystallisation direction) tend to be vertical, which also implies that they are orthogonal to the electric field direction when the ferrocapacitors are in use. The grain
- 25 boundaries and their surrounding regions have different electrical properties compared to the bulk of the PZT grain. Therefore the grain boundaries, which intercept all the electric field lines, may interfere with the operation of the device.

The present invention aims to provide a new and useful process for fabricating ferrocapacitors, and to provide devices including such ferrocapacitors.

5 In general terms, the present invention proposes that the crystallisation of the PZT (or other ferroelectric material) should be performed after the etching of the PZT, and that before the crystallisation step the sides of the PZT elements should be coated with a material which promotes crystallisation (e.g. by having more nucleation sites) to a greater degree than the material directly below the PZT (and any material directly above the PZT at the time of the  
10 crystallisation).

Thus, the crystallisation of the PZT proceeds from the side walls. Thus, the crystal boundaries in the PZT tend to be horizontal, i.e. parallel to the electric field direction in the device, thus improving the performance and consistency of the ferrocapacitors.

15 The material on the sides of the PZT elements may be  $\text{TiO}_2$ . Conveniently, the  $\text{TiO}_2$  film can be formed by depositing Ti on at least the sides of the etched PZT, the Ti being oxidised by contact with the PZT.

The material below (and optionally above) the PZT at the time of the crystallisation may be an electrically insulating inorganic oxide, and in particular  
20 may be selected from the group consisting of  $\text{Al}_2\text{O}_3$ ,  $\text{Ta}_2\text{O}_5$ ,  $\text{CeO}_2$ ,  $\text{Y}_2\text{O}_3$ ,  $\text{ZrO}_2$ , and  $\text{HfO}_2$ . The first two members of this group are preferred.

Specifically, a first expression of the invention is a method of forming a ferroelectric capacitor comprising forming a crystalline PZT layer by a process including the steps of:

25 depositing a layer of amorphous ferroelectric material over a layer of a first material;

etching the ferroelectric layer to form isolated ferroelectric elements;

providing a layer of a second material on at least the side surfaces of the ferroelectric elements; and

performing an annealing step to crystallize the ferroelectric material;

- 5 the second material promoting crystallisation of the ferroelectric material to a higher degree than the first material, whereby the crystallisation proceeds horizontally through the ferroelectric elements.

#### Brief Description of The Figures

- 10 Preferred features of the invention will now be described, for the sake of illustration only, with reference to the following figures in which:

Fig. 1, which is composed of Figs. 1(a) to 1(c), shows a process for fabricating a crystalline PZT layer in a ferroelectric capacitor formation process which is an embodiment of the invention.

#### 15 Detailed Description of the embodiments

- Referring firstly to Fig. 1(a), a structure is shown which is formed during a ferroelectric capacitor fabrication process which is an embodiment of the invention. A substrate 1 is shown which may be TEOS. This substrate may  
20 correspond exactly to the substructure of conventional devices discussed above. Below the substrate 1 may be located electronic components, and electrically conductive plugs (not shown) may extend upward through the substrate 1, e.g. terminating at in TiN/Ir barrier elements.

- On the TEOS layer 1 is are elements 3 of a chemically inert and electrically  
25 insulating bottom isolation layer (e.g.  $\text{Al}_2\text{O}_3$  or  $\text{Ta}_2\text{O}_5$ ). Over the elements 3 are ferroelectric elements 5 of PZT and further elements 7 of the same chemically inert material which forms layer 3. The PZT elements 5 and

elements 3, 7 were formed from respective layers of amorphous PZT and the non-conductive matter (e.g.  $\text{Al}_2\text{O}_3$ ) which were formed over the TEOS layer 1 (e.g. by sputtering, at least in the case of the PZT), and then etched (using masking elements, e.g. of TEOS, which are not shown). Dry etching is the preferred etching technique here, to guarantee a well shaped structure with a high taper angle.

As shown in Fig. 1(b), a Ti layer 9 is deposited over the structure of Fig. 1(a), preferably to a thickness of no more than 5nm.

The Ti is rapidly turned to  $\text{TiO}_2$  during this deposition process by reaction with the PZT. The  $\text{TiO}_2$  covers the two sides of the PZT elements 5.

The structure is then annealed. This may be carried out for example at a temperature in the range  $500^\circ\text{C}$  to  $700^\circ\text{C}$  in an oxygen atmosphere for a period which may be in the range of a few seconds to a few minutes. The  $\text{TiO}_2$  layer 9 provides a high number of nucleation sites, so that the crystallisation proceeds from both of its interfaces with the PZT, resulting in crystal boundaries in the PZT elements 5 which are substantially horizontal (a term which may, for example, be defined to mean that the normal to the boundaries is, averaged over them, less than 20 degrees, or less than 10 degrees, from the normal to the upper surface of the substrate 1). As shown in Fig. 1(c), the PZT layer 5 is crystallised in this process to a form crystalline ferroelectric element 11.

The process of promoting crystallisation of  $\text{PbTiO}_3$  and PZT films is described for example in "Texture control of  $\text{PbTiO}_3$  and  $\text{Pb}(\text{Zr,Ti})\text{O}_3$  thin films with  $\text{TiO}_2$  seeding", by P. Muralt et al, in Journal of Applied Physics, Volume 83, No. 7, p3835-3841, 1 April 1998, the disclosure of which is incorporated here by reference.

Thus, the invention makes it possible for crystalline PZT elements 11 to be formed with a high degree of crystallinity, and with crystal barriers which are substantially horizontal (i.e. substantially parallel to the upper surface of the substrate 1), which also implies that they are substantially parallel to the electric field direction when the ferrocapacitor is in use.

Further steps of the fabrication technique may be as described above in relation to the prior art method. In particular, the next step may be to fill in the gaps between the PZT elements with conductive material, such as  $\text{IrO}_2$ , and then to planarize the top surface of the  $\text{IrO}_2$  by a CMP planarization step. During this step, the  $\text{TiO}_2$  overlying the elements 7 may be removed, but preferably some or all of the upper element 7 is retained, since it provides a useful encapsulation material during metallization steps (known from the prior art) which are used to provide external circuitry of the memory device.

Note that  $\text{TiO}_2$  is usually an insulator if it is a well-crystallized material with proper stoichiometry. Therefore the thickness of the layer  $\text{TiO}_2$  should be selected appropriately, taking into account that part of the Ti may be sucked into the PZT and incorporated into the lattice during the PZT crystallization process. Note that in Fig. 3 there is a layer of  $\text{TiO}_2$  covering the upper surface of the TEOS 1 between the elements 3, and some of these areas may include the top surfaces of the plugs extending through the TEOS 1 (or, more usually, the top surfaces of diffusion barriers over the plugs), so if the  $\text{TiO}_2$  layer 9 is not removed before the conductive material (such as  $\text{IrO}_2$ ) is inserted between the elements 3 then the layer 9 should be thin enough not to electrically insulate the plug and the corresponding  $\text{IrO}_2$  element(s). Alternatively, before the gaps are filled with  $\text{IrO}_2$ , an etching step can be performed during which  $\text{TiO}_2$  9 is removed from the TEOS layer 1 as well as from the top of the elements 7. A side effect of this might be that during the etching some of the

TiO<sub>2</sub> (if any remains) might be removed from the side walls of the PZT elements 5.

One variation of the method of the method described above within the scope of the present invention would be that, instead of, as described above, etching  
5 the Al<sub>2</sub>O<sub>3</sub> (or Ta<sub>2</sub>O<sub>5</sub>) layer overlying the TEOS 1 to form the elements 3 during the same etching process which forms the elements 5, 7 from their respective layers, the Al<sub>2</sub>O<sub>3</sub> (or Ta<sub>2</sub>O<sub>5</sub>) layer overlying the TEOS 1 may be etched following the crystallisation of the PZT elements 9 and before the IrO<sub>2</sub> is applied between the PZT elements 9. An advantage of this is that the Al<sub>2</sub>O<sub>3</sub>  
10 layer overlying the TEOS 1 can provide an effective oxygen barrier layer until it is etched. Thus, the diffusion barrier elements covering the plug do not have to withstand oxygen diffusion to the plug during the thermal heating which causes the PZT crystallisation.